



PATENT
Atty. Dkt. No. 9D-HR-19939

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Debra Miozza et al.

Serial No.: 09/683,077

Filed: November 15, 2001

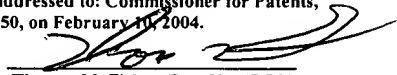
For: MULLION ASSEMBLY FOR
REFRIGERATOR QUICK
CHILL AND THAW PAN

Art Unit: 2875

Examiner: Zeade, Bertrand

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 10, 2004.


Thomas M. Fisher, Reg. No. 47,564

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☐ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

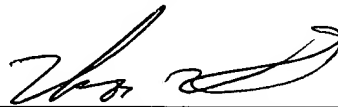
02/17/2004 DEMMANU1 00000018 012384 09683077

01 FC:1806 180.00 DA

- ☒ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ a. The required Certification made in item 5 below; or
- ☒ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- ☐ a. The required Certification is stated in item 5 below; and
- ☐ b. The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- ☐ 5. Certification
- ☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☐ 6. Payment of all applicable fees:
- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384.
- ☐ Enclosed is a check in the amount of \$ _____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully Submitted,



Thomas M. Fisher, Reg. No. 47,564
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, Missouri 63102
(314) 621-5070



Please Type a plus sign (+) inside this box →

☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	09/683,077	
			Filing Date	November 15, 2001	
			First Named Inventor	Debra Miozza	
			Group Art Unit	2875	
			Examiner Name	Zeade, Bertrand	
Sheet	1	of	2	Attorney Docket Number	9D-HR-19939

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	3,659,429		McLean	05-02-1972	
	AB	3,747,361		Harbour	07-24-1973	
	AC	3,759,053		Swanack, Jr.	09-18-1973	
	AD	3,918,269		Summers et al.	11-11-1975	
	AE	4,326,390		Brooks	04-27-1982	
	AF	4,358,932		Helfrich, Jr.	11-16-1982	
	AG	4,368,622		Brooks	01-18-1983	
	AH	4,385,075		Brooks	05-24-1983	
	AI	4,732,009		Frohbieter	03-22-1988	
	AJ	4,858,443		Denpou	08-22-1989	
	AK	4,876,860		Negishi	10-31-1989	
	AL	5,212,962		Kang et al.	05-25-1993	
	AM	5,758,512		Peterson et al.	06-02-1998	
	AN	6,539,729 B2		Tupis et al.	04-01-2003	
	AO	6,557,362 B1		Wilson	05-06-2003	
	AP	6,564,561 B2		Daum et al.	05-20-2003	
	AQ	6,574,974 B1		Herzog et al.	06-10-2003	
	AR	6,606,870 B2		Holmes et al.	08-19-2003	
	AS	6,625,999 B1		Hu et al.	09-30-2003	
	AT	6,631,620 B2		Gray et al.	10-14-2003	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature	Date Considered:
-----------------------	------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See Attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WSIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Examiner Signature		Date Considered:
-----------------------	--	------------------

¹Unique citation designation number. ²See Attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WSIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.